



2161 #4
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PATENTS
2326/16 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

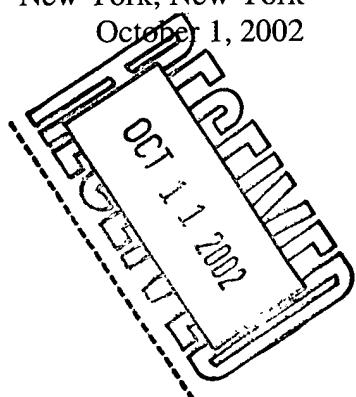
PATENT APPLICATION

Applicant : SLONE, Jonathan
Application No. : 10/085,552
Conf. No. : 9844
Filed : February 28, 2002
For : INTERNATIONAL TRADING OF SECURITIES
Group Art Unit : 2161
Examiner : McCray, Paula

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Commissioner for Patents
Washington, D.C. 20231

New York, New York
October 1, 2002



INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant wishes to make of record the documents listed in the chart below. A Form PTO-1449 (in duplicate) and copies of the cited documents are enclosed.

U.S. PATENT DOCUMENTS

<u>Patent Number</u>	<u>Date</u>	<u>Inventor</u>
5,787,402	07/28/1998	Potter et al.
6,029,146	02/22/2000	Hawkins et al.
6,173,270 B1	01/09/2001	Cristofich et al.

Applicant requests that these documents be considered by the Examiner before issuance of the next Office Action and made of record in this file. The Examiner is also asked to initial and return a copy of the enclosed PTO-1449 form to evidence such consideration.

This Information Disclosure Statement is being filed in accordance with the following provision:

37 C.F.R. § 1.97(b)(3). To the best of the undersigned's knowledge, this Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits. No fee is required.

**Certification Pursuant
To 37 C.F.R. § 1.97 (e)**

The information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. In particular, these references were cited in the August 26, 2002 International Search Report on applicant's corresponding Patent Cooperation Treaty application PCT/US02/06583. A copy of that International Search Report is enclosed.

If it is determined that a fee is required in connection with this paper, please charge such fee (or credit any overpayment) to Deposit Account No. 50-0324. A duplicate copy of this paper is enclosed herewith.

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

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on Oct 1, 2002
(Date)

Typed or printed name of person signing this certificate:

Matthew T. Byrne

Signature:

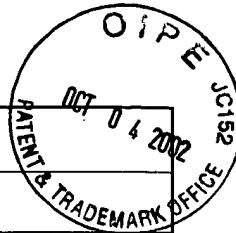
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Respectfully submitted,

By: 
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)			ATTY. DOCKET NO. 2326/16 US	SERIAL NO. 10/085,552		
			APPLICANT SLONE, Jonathan			
			FILING DATE February 28, 2002	GROUP ART UNIT 2161	Page 1 of 1	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,787,402	07/28/1998	Potter et al.	705	37	
	6,029,146	02/22/2000	Hawkins et al.	705	35	
	6,173,270 B1	01/09/2001	Cristofich et al.	705	37	
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.)						
EXAMINER			DATE CONSIDERED			



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